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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: March 23, 2005 (Attach as many sheets as necessary)		<b>Complete if Known</b>	
Application Number		09/880,078	
Filing Date		06/14/2001	
First Named Inventor		Mike BURNS et al.	
Group Art Unit		3628	
Examiner Name		Nga Nguyen	
Attorney Docket Number		024051-0150	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
mm	C1	"APT: A trading system for the future," The London International Financial Futures Exchange (LIFFE), 1990, 11 pages.	
mm	C2	Trading Screen, INTEX of Bermuda, 1984, one page.	
mm	C3	B.W. WEBER, "Information Technology in the Major International Financial Markets," Stern School of Business, New York University, April 7, 1993, pp. 1-43.	
mm	C4	Trading Screen, TIFFE Exchange, circa 1989-1990, one page.	
mm	C5	Trading Screen, MEFF Exchange, 1990, one page.	
mm	C6	C. CAVALETTI, "Order Routing," FUTURES MAGAZINE, February 1997, pp. 68-70.	
mm	C7	"AURORA: The most technologically advanced trading system available today," Chicago Board of Trade, 1989, 11 pages.	
mm	C8	"One Click Trading Options," Trading Technologies, Inc., 1998, one page.	
mm	C9	Trading Screen, SWX Exchange, 1990, two pages.	
mm	C10	"Expanding futures and options trading around the world, around the clock," GLOBEX, 1989, 48 pages.	
mm	C11	S. HANSELL, "The computer that ate Chicago," INSTITUTIONAL INVESTOR, February 1989, 5 pages.	
mm	C12	"Sydney Futures Exchange Announces Plans to Join GLOBEX," GLOBEX REPORT: An Update on the CME global electronic exchange, Vol. II, No. 2, February 10, 1989, 4 pages.	
mm	C13	New York Mercantile Exchange (NYMEX) Access Documents, February 28, 1992, 413 pages.	
mm	C14	The Computer Assisted Trading System (CATS) Traders' Manual, Toronto Stock Exchange, September 30, 1977, 142 pages.	

Examiner Signature	Nga Nguyen	Date Considered	5/29/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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mm	C15	Market Watch trading screen, date not available, 1 page.	
mm	C16	GRUMMER et al., "Preliminary Feasibility Study," Bermudex Ltd., November 1980, 100 pages.	
mm	C17	PEAKE et al., Appendix C of Preliminary Feasibility Study, "The ABCs of trading on a national market system," Bermudex Ltd., September 1997, 16 pages.	
mm	C18	J.W. PEAKE, Appendix E of Preliminary Feasibility Study, "The last fifteen meters," Bermudex Ltd., June 15, 1997, 18 pages.	
mm	C19	Declaration of Brendan Bradley in Case No. 04 C 5312, November 18, 2004, 27 pages.	
mm	C20	Memorandum Opinion and Order of Judge James B. Moran in Case No. 04 C 5312, February 9, 2005, 22 pages.	

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